

AR 1 28/3
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566.40319X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: T. UCHIDA, et al.
Application No.: 09/869,347
Filed: June 28, 2001
For: MATERIALS FOR POLISHING LIQUID FOR METAL,
POLISHING LIQUID FOR METAL, METHOD FOR
PREPARATION THEREOF AND POLISHING METHOD USING
THE SAME
Art Unit: 2813
Examiner: L. M. Schillinger

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97 AND § 1.98**

Mail Stop: DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 2, 2004

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Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Office Action in connection with a corresponding Taiwanese patent application.

Note that the documents cited in the enclosed Office Action are U.S. Patent No. 5,770,095 to Sasaki, et al., and U.S. Patent No. 5,527,423 to Neville, et al. No. 5,770,095 was cited in the Office Action mailed May 8, 2003 in connection with the above-identified application, and, accordingly, a copy of U.S. Patent No. 5,770,095 is not enclosed. A copy of U.S. Patent No. 5,527,423 is enclosed. Further enclosed is a Form, substantially equivalent to Form PTO-1449, listing U.S.

Patent No. 5,527,423.

02/05/2004 SZEWDIE1 00000115 09869347

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This Information Disclosure Statement is being submitted subsequent to issuance of a Final Office Action in the above-identified application, on October 31, 2003. For satisfying requirements of 37 CFR § 1.97(d), the fee set forth in 37 CFR § 1.17(p) is enclosed, and the following Statement is made under 37 CFR § 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

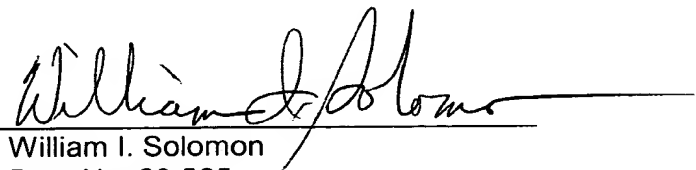
In view of all of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and § 1.98 have been satisfied, in connection with present submission of this Information Disclosure Statement. Accordingly, consideration of the listed document, upon further examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 566.40319X00), and please credit any excess fees to such Deposit Account.

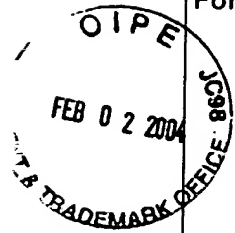
Respectfully submitted,

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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO. 566.40319X00	APPLICATION NO. 09/869,347
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT Uchida, et al.	
		FILING DATE September 7, 2001	GROUP 2813

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	5,527,423	6/18/1996	Neville, et al.			
AB						
AC						
AD						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation/Abstract	
						Yes	No
AE						X	
AF							
AG							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AH	
AI	
Examiner	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC